



07-27-01  
**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

**Attorney Docket No. 10059-391US (P25827-01)**  
**First Named Inventor: Kohei SUZUKI et al.**  
**Express Mail Label No. EL665886210US**  
**Total Pages of Transmittal Form: 2**



Transmitted herewith for filing is the non-provisional utility patent application entitled:

**NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND POSITIVE  
ELECTRODE FOR THE SAME**

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. filed.

Anticipated Group/Art Unit: or Class, Subclass.

☐ This non-provisional patent application is based on Provisional Patent Application No.,  
filed.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 36 pages.
- ☐ Application Data Sheet.
- ☒ Newly executed Declaration (original).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 3 sheets of drawings (formal).
- ☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☒ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: **Matsushita Electric Industrial Co., Ltd.**
- ☒ Certified copies of Japanese Application Nos. 2000-239351 filed August 8, 2000 and 2000-310765 filed October 11, 2000 are filed:
  - ☒ herewith or ☐ in prior application.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO-1449, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- ☐ Other:

The filing fee is calculated as follows:

|                                       |           |           | SMALL ENTITY |           |           | LARGE ENTITY |                 |
|---------------------------------------|-----------|-----------|--------------|-----------|-----------|--------------|-----------------|
| CLAIMS                                | NO. FILED | NO. EXTRA | BASIC FEE:   |           |           | BASIC FEE:   |                 |
|                                       |           |           | \$355        |           |           | \$710        |                 |
| Total                                 | 9 - 20 =  | - 0 -     | X9           | \$        | OR        | X18          | \$              |
| Independent                           | 2 - 3 =   | - 0 -     | X40          | \$        | OR        | X80          | \$              |
| [ ] Multiple Dependent Claims Present |           |           | \$135        | \$        | OR        | \$270        | \$              |
|                                       |           |           | <b>TOTAL</b> | <b>\$</b> | <b>OR</b> | <b>TOTAL</b> | <b>\$710.00</b> |

- [ ] **The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.**
- [X] A check in the amount of \$710.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 210059.0391)** as noted below. A duplicate copy of this sheet is enclosed.
- [X] Any overpayments or deficiencies in the above-calculated fee.
- [ ] Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- [X] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

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Enclosures